

Quaternions

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1 Motivation

For animation, objects have to be moved through space. If the object's orientation changes during such a motion, a rotation is invariably involved. A typical problem is that the object is only defined at several key positions, and the intermediate ones have to be computed. In other words, we have to be able to interpolate between rotations. This is not trivial: if R_1 and R_2 are two rotation matrices, then the blend

$$Q = (1 - t)R_1 + tR_2$$

will, in general, not be a rotation matrix since we cannot guarantee that $Q^T Q = I$ as is required for rotation matrices. A different entity, called a quaternion, may also be used to describe rotations, but in addition is capable of handling the interpolation problem.

2 Introduction

Let R be rotation matrix, such that

$$R^T R = I.$$

First we show that R has an eigenvalue 1, i.e., there is a unit vector \mathbf{u} , corresponding to this eigenvalue, such that

$$R\mathbf{u} = \mathbf{u}.$$

Assume λ is the eigenvalue corresponding to \mathbf{u} . Then

$$(\lambda\mathbf{u})^T(\lambda\mathbf{u}) = \lambda^2\mathbf{u}^T\mathbf{u} = \mathbf{u}^T R^T R \mathbf{u} = \mathbf{u}^T \mathbf{u} = 1,$$

hence $\lambda^2 = \pm 1$. To show that in fact +1 is an eigenvalue requires some more work.

Thus in order to find the axis of a general rotation matrix, all we have to do is to find its eigenvector corresponding to the eigenvalue $+1$. The angle Φ by which \mathbf{v} is rotated around \mathbf{u} to yield \mathbf{v}' can be computed from

$$\text{trace}(R) = 1 + 2 \cos \Phi.$$

Example Let a rotation matrix be given by

$$R = \begin{bmatrix} \tau^2 & \tau^2 - \tau & \tau^2 + \tau \\ \tau^2 + \tau & \tau^2 & \tau^2 - \tau \\ \tau^2 - \tau & \tau^2 + \tau & \tau^2 \end{bmatrix}$$

with $\tau = 1/\sqrt{3}$. The matrix has an eigenvector $\mathbf{u} = [\tau, \tau, \tau]^T$ corresponding to the eigenvalue 1:

$$\begin{bmatrix} \tau^2 & \tau^2 - \tau & \tau^2 + \tau \\ \tau^2 + \tau & \tau^2 & \tau^2 - \tau \\ \tau^2 - \tau & \tau^2 + \tau & \tau^2 \end{bmatrix} \begin{bmatrix} \tau \\ \tau \\ \tau \end{bmatrix} = \begin{bmatrix} \tau^3 + \tau^3 - \tau^2 + \tau^3 + \tau^2 \\ \tau^3 + \tau^2 + \tau^3 + \tau^3 - \tau^2 \\ \tau^3 - \tau^2 + \tau^3 + \tau^2 + \tau^3 \end{bmatrix} = \begin{bmatrix} \tau \\ \tau \\ \tau \end{bmatrix}$$

We have $\text{trace}(R) = 3\tau^2 = 1$. Thus $\cos \Phi = 0$ and thus $\Phi = 90^\circ$.

If $\mathbf{v}' = R\mathbf{v}$, then \mathbf{v}' is in the plane which contains \mathbf{v} and has \mathbf{u} as its normal. To see this, note that $(\mathbf{u}^T \mathbf{v})\mathbf{u}$ is the perpendicular projection of \mathbf{v} onto \mathbf{u} , marked by a solid circle in Figure 1. If we can show that the projection of \mathbf{v}' onto \mathbf{u} is the same vector, our claim is proved. That projection is given by $(\mathbf{u}^T \mathbf{v}')\mathbf{u}$. But (noting that $R\mathbf{u} = \mathbf{u}$):

$$(\mathbf{u}^T \mathbf{v}')\mathbf{u} = (\mathbf{u}^T R^T R \mathbf{v})\mathbf{u} = (\mathbf{u}^T \mathbf{v})\mathbf{u},$$

thus proving our claim.

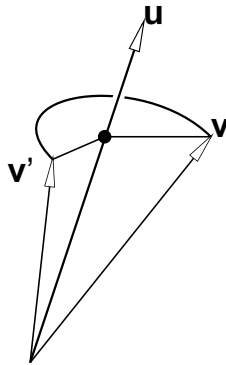


Figure 1: The vector \mathbf{v} is rotated into \mathbf{v}' around axis \mathbf{u} .

Conversely, we may ask how to compute the rotation matrix R if we are given the axis vector \mathbf{u} and the rotation angle Φ . This matrix turns out to be (check any linear algebra text)

$$\begin{bmatrix} u_1^2 + c(1 - u_1^2) & (1 - c)u_1u_2 - su_3 & (1 - c)u_1u_3 + su_2 \\ (1 - c)u_1u_2 + su_3 & u_2^2 + c(1 - u_2^2) & (1 - c)u_2u_3 - su_1 \\ (1 - c)u_1u_3 - su_2 & (1 - c)u_2u_3 + su_1 & u_3^2 + c(1 - u_3^2) \end{bmatrix}, \quad (1)$$

where $c = \cos \Phi$, $s = \sin \Phi$. For a sanity check, verify that $\text{trace}(R) = 1 + 2c$!

The essential information defining a rotation is given by \mathbf{u} and Φ . This information is collected in the *quaternion*

$$\hat{\mathbf{u}} = \begin{bmatrix} s\mathbf{u} \\ c \end{bmatrix} \quad (2)$$

Clearly we can rebuild the matrix R from this information. But instead of working with matrices, some applications related to animation are formulated much more elegantly using quaternions.

3 Definitions

Since quaternions were originally invented as a generalization of complex numbers, we now turn to a formulation which is more general than the above. Now, a quaternion is defined as

$$\hat{\mathbf{q}} = \begin{bmatrix} \mathbf{x} \\ w \end{bmatrix}$$

where

$$\mathbf{x} = ix + jy + kz$$

Note that on one hand, we write \mathbf{x} as a vector, on the other hand as a generalized complex number. It's not a totally bad idea to think of i, j, k as the unit direction vectors of \mathbb{E}^3 , but they are better thought of as generalized complex numbers:

$$i^2 = j^2 = k^2 = -1; \quad ij = k, jk = i, ki = j.$$

We also have $ij = -ji$ etc.

The product $\hat{\mathbf{q}}_1\hat{\mathbf{q}}_2$ of two quaternions may again expressed as a quaternion. It is found by multiplying

$$(ix_1 + jy_1 + kz_1 + w_1)(ix_2 + jy_2 + kz_2 + w_2)$$

which gives

$$\hat{\mathbf{q}}_1\hat{\mathbf{q}}_2 = \begin{bmatrix} w_1x_2 + x_1w_2 + y_1z_2 - z_1y_2 \\ w_1y_2 - x_1z_2 + y_1w_2 + z_1x_2 \\ w_1z_2 + x_1y_2 - y_1x_2 + z_1w_2 \\ w_1w_2 - x_1x_2 - y_1y_2 - z_1z_2 \end{bmatrix}.$$

It will be helpful to rewrite this in matrix form:

$$\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2 = \begin{bmatrix} w_1 & -z_1 & y_1 & x_1 \\ z_1 & w_1 & -x_1 & y_1 \\ -y_1 & x_1 & w_1 & z_1 \\ -x_1 & -y_1 & -z_1 & w_1 \end{bmatrix} \begin{bmatrix} x_2 \\ y_2 \\ z_2 \\ w_2 \end{bmatrix},$$

which we abbreviate as

$$\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2 = M_1 \hat{\mathbf{q}}_2. \quad (3)$$

There is a second possibility:

$$\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2 = \begin{bmatrix} w_2 & z_2 & -y_2 & x_2 \\ -z_2 & w_2 & x_2 & y_2 \\ y_2 & -x_2 & w_2 & z_2 \\ -x_2 & -y_2 & -z_2 & w_2 \end{bmatrix} \begin{bmatrix} x_1 \\ y_1 \\ z_1 \\ w_1 \end{bmatrix}$$

which we abbreviate to

$$\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2 = M_2 \hat{\mathbf{q}}_1. \quad (4)$$

This multiplication is clearly not commutative!

Yet a third possibility for the computation of $\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2$ is given by

$$\hat{\mathbf{q}}_1 \hat{\mathbf{q}}_2 = \begin{bmatrix} \mathbf{x}_1 \wedge \mathbf{x}_2 + w_2 \mathbf{x}_1 + w_1 \mathbf{x}_2 \\ w_1 w_2 - \mathbf{x}_1 \mathbf{x}_2 \end{bmatrix}$$

Other operations on quaternions are most easily understood by knowing they are equivalent to matrices. For example, addition is defined by

$$\hat{\mathbf{q}} + \hat{\mathbf{p}} = \begin{bmatrix} \mathbf{q}_v + \mathbf{p}_v \\ q_w + p_w \end{bmatrix}.$$

Another property is linearity:

$$\hat{\mathbf{q}}(\alpha \hat{\mathbf{p}}_1 + \beta \hat{\mathbf{p}}_2) = \alpha \hat{\mathbf{q}} \hat{\mathbf{p}}_1 + \beta \hat{\mathbf{q}} \hat{\mathbf{p}}_2.$$

Since the i, j, k parts are imaginary numbers, we may define the complex conjugate $\hat{\mathbf{q}}^*$ of a quaternion $\hat{\mathbf{q}}$. First, recall the standard complex conjugate of a complex number:

$$(a + ib)^* = a - ib.$$

For quaternions, the same pattern holds:

$$\hat{\mathbf{q}}^* = \begin{bmatrix} -\mathbf{x} \\ w \end{bmatrix}.$$

The *norm* (length) of a complex number is given by $|a + ib|^2 = (a + ib)(a + ib)^* = (a + ib)(a - ib) = a^2 - aib + aib - i^2b = a^2 + b^2$. The same holds for quaternions:

$$\|\hat{\mathbf{q}}\|^2 = \hat{\mathbf{q}}\hat{\mathbf{q}}^*.$$

Note that $\hat{\mathbf{q}}\hat{\mathbf{q}}^*$ yields a real number because of the properties of the imaginary units in quaternions.

A *unit quaternion* is one with $\|\hat{\mathbf{q}}\|^2 = 1$ and ties in with the quaternions introduced in Section 3. It is thus of the form of (5):

$$\hat{\mathbf{q}} = \begin{bmatrix} \sin \Phi \mathbf{u} \\ \cos \Phi \end{bmatrix} \quad (5)$$

We see that $\hat{\mathbf{q}}\hat{\mathbf{q}}^* = s^2(u_1^2 + u_2^2 + u_3^2) + c^2 = 1$ since we assumed that \mathbf{u} is a unit vector. In what follows, we will only deal with unit quaternions.

Finally, the identity quaternion:

$$\hat{\mathbf{i}} = \begin{bmatrix} \mathbf{0} \\ 1 \end{bmatrix}.$$

It is often convenient to simply treat this quaternion as the scalar 1.

We may also define the inverse $\hat{\mathbf{q}}^{-1}$ of $\hat{\mathbf{q}}$, defined by

$$\hat{\mathbf{q}}^{-1}\hat{\mathbf{q}} = \hat{\mathbf{q}}\hat{\mathbf{q}}^{-1} = 1.$$

It is given by

$$\hat{\mathbf{q}}^{-1} = \frac{\hat{\mathbf{q}}^*}{\|\hat{\mathbf{q}}\|^2},$$

and is verified by

$$\frac{\hat{\mathbf{q}}^{-1}\hat{\mathbf{q}}^*}{\|\hat{\mathbf{q}}\|^2} = 1.$$

4 Quaternions and Matrices

Rotating a vector \mathbf{v} around an axis \mathbf{u} by an angle 2Φ may be expressed by $\mathbf{v}' = R\mathbf{v}$, where R is given by (1). In terms of quaternions, the corresponding equation is

$$\mathbf{v}' = \hat{\mathbf{q}}\mathbf{v}\hat{\mathbf{q}}^{-1} = \hat{\mathbf{q}}\mathbf{v}\hat{\mathbf{q}}^*$$

This makes sense since we may write \mathbf{v} in quaternion form with a zero real part. This operation corresponds to a rotation by 2Φ if we set $w = \cos \Phi$.

Invoking (3) and (4), we obtain

$$\hat{\mathbf{q}}\mathbf{v}\hat{\mathbf{q}}^* = \hat{\mathbf{q}}(M_2^*\mathbf{v}) = M_1M_2^*\mathbf{v}.$$

Thus $M_1 M_2^*$ is the desired rotation matrix R . It is explicitly given by

$$R = \begin{bmatrix} w^2 + x^2 - y^2 - z^2 & 2xy - 2wz & 2xz + 2wy & 0 \\ 2xy + 2wz & w^2 - x^2 + y^2 - z^2 & 2yz - 2wx & 0 \\ 2xz - 2wy & 2yz + 2wx & w^2 - x^2 - y^2 + z^2 & 0 \\ 0 & 0 & 0 & x^2 + y^2 + z^2 + w^2 \end{bmatrix}$$

Example A rotation around the z -axis by 90 degrees is given by the unit quaternion

$$\hat{\mathbf{q}} = [0, 0, \frac{1}{2}\sqrt{2}, \frac{1}{2}\sqrt{2}]^T.$$

If we use the above to calculate the corresponding rotation matrix, we find

$$R = \begin{bmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

We may concatenate quaternions as follows. Transform a vector \mathbf{v} using quaternion $\hat{\mathbf{q}}_1$, then transform the result using a quaternion $\hat{\mathbf{q}}_2$. We have

$$\mathbf{v}' = \hat{\mathbf{q}}_2(\hat{\mathbf{q}}_1 \mathbf{v} \hat{\mathbf{q}}_1^*) \hat{\mathbf{q}}_2^*$$

This may easily be shown to yield

$$\mathbf{v}' = (\hat{\mathbf{q}}_2 \hat{\mathbf{q}}_1) \mathbf{v} (\hat{\mathbf{q}}_2 \hat{\mathbf{q}}_1)^*.$$

Another application is this: suppose you have two unit vectors \mathbf{v}_1 and \mathbf{v}_2 , and you are interested in the rotation which takes \mathbf{v}_1 to \mathbf{v}_2 . That rotation will have the axis

$$\mathbf{u} = \frac{\mathbf{v}_1 \wedge \mathbf{v}_2}{\|\mathbf{v}_1 \wedge \mathbf{v}_2\|};$$

its angle Φ will be determined by $\cos 2\Phi = \mathbf{v}_1 \mathbf{v}_2$. Note also that $\sin 2\Phi = \|\mathbf{v}_1 \wedge \mathbf{v}_2\|$. The quaternion $\hat{\mathbf{q}}$ which realizes this rotation is then given by

$$\hat{\mathbf{q}} = \begin{bmatrix} \sin \Phi \mathbf{u} \\ \cos \Phi \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} \sqrt{1 - \mathbf{v}_1 \mathbf{v}_2} \mathbf{u} \\ \sqrt{1 + \mathbf{v}_1 \mathbf{v}_2} \end{bmatrix} \quad (6)$$

where we had to use these trig identities:

$$\cos \Phi = \frac{1}{\sqrt{2}} \sqrt{1 + \cos 2\Phi}, \quad \sin \Phi = \frac{1}{\sqrt{2}} \sqrt{1 - \cos 2\Phi}.$$

Example: Let

$$\mathbf{v}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \mathbf{v}_2 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \mathbf{u} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}.$$

Thus

$$\hat{\mathbf{q}} = \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix}$$

which is the quaternion from the previous example in this section.

5 Interpolation using quaternions

Before we discuss quaternions, let us first solve a simple 3D problem. Given are two unit vectors \mathbf{v}_0 and \mathbf{v}_1 , forming an angle α . We would like to rotate \mathbf{v}_0 into \mathbf{v}_1 , creating a sequence of unit vectors $\mathbf{v}(t)$ which, for $t = 0$, starts out at \mathbf{v}_0 and for $t = \alpha$ ends at \mathbf{v}_1 . We write $\mathbf{v}(t)$ as

$$\mathbf{v}(t) = f(t)\mathbf{v}_0 + g(t)\mathbf{v}_1. \quad (7)$$

The functions f and g do not sum to unity – $\mathbf{v}(t)$ would not be a unit vector then. We have

$$\|\mathbf{v}_0 \wedge \mathbf{v}_1\| = \sin \alpha \quad \text{and} \quad \|\mathbf{v}_0 \wedge \mathbf{v}(t)\| = \sin t.$$

Substituting (7) into this yields

$$\mathbf{v}(t) = \frac{\sin(\alpha - t)}{\sin(\alpha)} \mathbf{v}_0 + \frac{\sin t}{\sin \alpha} \mathbf{v}_1.$$

For an illustration, see Fig. ??.

When we interpolate between unit quaternions, the same principle applies. While $\mathbf{v}(t)$ above traced out a unit circle, quaternions, being 4D vectors, trace out the 4D unit sphere.

Suppose $\hat{\mathbf{q}}_0$ and $\hat{\mathbf{q}}_1$ are two unit quaternions, such that at time $t = 0$ we assume orientation $\hat{\mathbf{q}}_0$ and at time $t = 1$, we assume $\hat{\mathbf{q}}_1$. What is a meaningful orientation at an arbitrary time t ? The answer is given by *spherical linear interpolation* or *slerp*:

$$\hat{\mathbf{q}}(t) = \frac{\sin((1-t)\Phi)}{\sin \Phi} \hat{\mathbf{q}}_0 + \frac{\sin(t\Phi)}{\sin \Phi} \hat{\mathbf{q}}_1.$$

where $\cos \Phi = \hat{\mathbf{q}}_0 \cdot \hat{\mathbf{q}}_1$ (dot product, not quaternion multiplication).

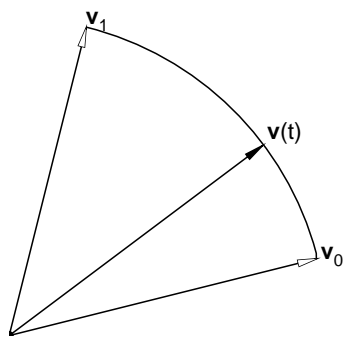


Figure 2: Rotation interpolation in 3D.